



MEMORANDUM – 2026-010

TO : ALL TRADING PARTICIPANTS
SUBJECT : EMPLOYEE MOVEMENT
DATE : 08 MAY 2026

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2026.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-May-26	DE GUZMAN	ESMERALDO B.	-	TECHNOLOGY SUPPORT (OUTSOUCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
1-May-26	YU	ADRIENNE IRVIN T.	-	AADP ANALYST (OURSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
30-Apr-26	GUECO	JOSH GAVRIE S.	RESEARCH INTERN	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION
30-Apr-26	UY	SHAN WALWYNE T.	AADP ANALYST (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)
30-Apr-26	YU	WELLINGTON C.	DIRECTOR	INDEPENDENT ADVISER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
30-Apr-26	VINZON	RAFAEL MA. C.	-	INDEPENDENT DIRECTOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
28-Apr-26	RAMIREZ	MELANIE S.	BUSINES SYSTEM ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (no clearance required)
24-Apr-26	CHUA	REENA L.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
24-Apr-26	YU	VINCENT N.	INDEPENDENT DIRECTOR	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
24-Apr-26	SY	JESS D.	-	INDEPENDENT DIRECTOR	SUNSECURITIES, INC.	NEW EMPLOYMENT
23-Apr-26	BLANCO	NOEL JAY S.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Apr-26	CHANG	JOHN RICK P.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Apr-26	DIONELA	ALLAN NIÑO L.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Apr-26	REYES	ROMMEL L.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
20-Apr-26	BESIÑO	JENEL M.	-	FILING CLERK	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT

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17-Apr-26	NG	JUSTIN JOSEPH T.	CERTIFIED FUND SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-26	CRUZ	SHERRYL R.	TEAM HEAD - TRANSACTION PROCESSING AND SECURITIES	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
16-Apr-26	JOSE	ANGELA GRACE B.	FINANCE ASSOCIATE (COTRACTUAL)	TREASURY OFFICER	MAYBANK SECURITIES, INC.	RE-DESIGNATION
16-Apr-26	BUENO	JAN EMMANUEL R.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-26	HIDALGO	JESSIE BENJ D.	-	TRAINEE - SETTLEMENT ASSISTANT	DAVID GO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-26	GENOROSO	NICOLE D.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Apr-26	RAMOS	BRENZIS RANIER M.	ASSOCIATE DIRECTOR, ASSOCIATED	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION
15-Apr-26	LITIATCO	CECILLE T.	SECTION HEAD - ACCOUNT MAINTENANCE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
15-Apr-26	AGUIRRE	JUAN ANTONIO L.	CHAIRMAN	-	LOPEZ, LOCSIN, LEDESMA & CO., INC.	RESIGNATION (cleared)
15-Apr-26	LOPEZ	EUSEBIO S.	DIRECTOR	CHAIRMAN	LOPEZ, LOCSIN, LEDESMA & CO., INC.	RE-DESIGNATION
15-Apr-26	GASTON	BENJAMIN JAMES L.	-	DIRECTOR	LOPEZ, LOCSIN, LEDESMA & CO., INC.	NEW EMPLOYMENT
15-Apr-26	YEDRA	JAYCOB V.	-	SALESMAN	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT
13-Apr-26	LEGASPI	CARMELA S.	-	FINANCE CONSULTANT	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
13-Apr-26	FLORES	ALLAN T.	REGISTERED SALESMAN	-	A.T. DE CASTRO SECURITIES CORPORATION	RESIGNATION (no clearance required)
11-Apr-26	GALAY	MAY G.	HEAD ACCOUNTANT	-	OPTIMUM SECURITIES CORPORATION	DECEASED

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9-Apr-26	DE LA CRUZ	REY SALDE L.	OUTSIDE CONTRACTOR	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
7-Apr-26	SINGSON	GERARD R.	INDEPENDENT DIRECTOR	-	PNB SECURITIES, INC.	RESIGNATION (no clearance required)
7-Apr-26	DELA BARRIO JR.	JAIME L.	-	INDEPENDENT DIRECTOR	PNB SECURITIES, INC.	NEW EMPLOYMENT
6-Apr-26	CAI	JASMIN ALAINE R.	-	FINANCIAL AND TAX REPORTING SPECIALIST	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
6-Apr-26	DELA CRUZ	RANDEN C.	-	ACCOUNTING ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
6-Apr-26	EVANGELISTA	JOAN G.	-	MARKETING ASSOCIATE	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
6-Apr-26	POSADA	YSHAIN KAYE Q.	-	CUSTOMER SERVICE SPECIALIST	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
6-Apr-26	CARVAJAL	CHARLES GABRIEL F.	-	JUNIOR CONTENT WRITER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
6-Apr-26	MANALAC	MANUEL LUIS M.	DIRECTOR/ PRESIDENT/ NOMINEE	CHAIRMAN/ DIRECTOR/ PRESIDENT/ NOMINEE	FIRST INTEGRATED CAPITAL SECURITIES, INC.	RE-DESIGNATION
6-Apr-26	MANALAC	JOSE FRANCISCO M.	DIRECTOR/ VICE PRESIDENT - TRADING AND SALES	DIRECTOR/ VICE PRESIDENT - TRADING AND SALES/ TREASURER	FIRST INTEGRATED CAPITAL SECURITIES, INC.	RE-DESIGNATION
6-Apr-26	MANALAC	ERLINDA M.	DIRECTOR/ TREASURER	-	FIRST INTEGRATED CAPITAL SECURITIES, INC.	DECEASED
5-Apr-26	MARQUEZ	JOHN EXEQUEIL D.	IT OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
2-Apr-26	NOEL	AARON ALEXANDER JR. C.	DRIVER/ MESSENGER (CONTRACTUAL)	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
2-Apr-26	ROMERO	EMMANUEL L.	DATA ANALYST AND SETTLEMENT ASSOCIATE	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)

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1-Apr-26	GARCIA	ANTONIO JOSE K.	FVP - HEAD OF RETAIL TRADITIONAL SALES	-	RCBC SECURITIES, INC.	RETIREMENT
1-Apr-26	DEL ROSARIO	BRIAN CHRISTOPHER Z.	AVP - DIGITAL INNOVATIONS AND ON-LINE RETAIL SALES HEAD	AVP - DIGITAL INNOVATIONS AND RETAIL SALES HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Apr-26	PADECIO	JAEX GHUMTHAR S.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-26	MANGABAT	JUSTINE BRENT L.	-	TRADER	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
1-Apr-26	ORTEZA	REA P.	AVP - HEAD OF ACCOUNTING OPERATIONS	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Apr-26	GACER	LLANE V.	SENIOR SUPERVISOR - SETTLEMENT	ASST. SETTLEMENT MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
1-Apr-26	DE RAMOS	MARK ARTHUR S.	TRADERS/ AGENT SALES ASST. MANAGER	ENTERPRISE RELATIONSHIP SR. MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
1-Apr-26	CRUZ	JULES CYBER 1A22 G.	RESEARCH ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
1-Apr-26	CUSTODIO	ROSEMARIE T.	-	SETTLEMENT OFFICER	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
1-Apr-26	SUPEÑIO	MAC DONALD D.	-	MESSENGER	TOWER SECURITIES, INC.	NEW EMPLOYMENT
1-Apr-26	GULLES	SARAH S.	TELEPHONE SALES CLERK	ACCOUNTING STAFF	TOWER SECURITIES, INC.	RE-DESIGNATION
1-Apr-26	DE LEON	KEVIN Z.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
1-Apr-26	DALUDDUNG	ALFIE R.	-	MESSENGER/ JANITOR	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
1-Apr-26	ATENTA	JORGE JOSEF P.	SETTLEMENT ASSISTANT	-	DAVID GO SECURITIES CORPORATION	RESIGNATION (cleared)

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1-Apr-26	CANANEA	ABIGAIL M.	OPERATIONS ASSOCIATE	OPERATIONS OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Apr-26	EDADES	ARLENE S.	AP/ SENIOR COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	AP/ SENIOR COMPLIANCE OFFICER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Apr-26	GARCIA	JUAN ALFONSO L.	AP/ COMPLIANCE OFFICER	AP/ COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Apr-26	CATALO	JOYCE ANN D.	ACCOUNTING CLERK	FO - IC - ACCOUNTING HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Apr-26	BUGAYONG	AIZA G.	JAM - IC - ACCOUNTING UNIT	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
31-Mar-26	YEDRA	JACOB V.	CERTIFIED SECURITIES REPRESENTATIVE	-	AP SECURITIES, INC.	RESIGNATION (cleared)
30-Mar-26	BALEAN	WILFREDO B.	GENERAL CLERK	-	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	RETIREMENT
30-Mar-26	SORIANO	MICAELA O.	-	ACCOUNTING ASSISTANT	APEX (PHILIPPINES) EQUITIES CORPORATION	NEW EMPLOYMENT
30-Mar-26	GO	KEAN PATRICK V.	-	CORPORATE DEVELOPMENT ASSOCIATE	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
30-Mar-26	VERGARA	MAICO ANZO J D.	-	CORPORATE DEVELOPMENT ASSOCIATE	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
30-Mar-26	CANSANA	DINO S.	AGENT	-	ASIASEC EQUITIES, INC.	RESIGNATION (cleared)
30-Mar-26	LEE	ZYRA EVE L.	AGENT	-	ASIASEC EQUITIES, INC.	RESIGNATION (cleared)
30-Mar-26	ROBELLES	ALLAN M.	ACCOUNTING TRAINEE	ACCOUNTING CLERK	CUALOPING SECURITIES CORPORATION	RE-DESIGNATION
26-Mar-26	APATAN	QUEENNE MARIE D.	NEW ACCOUNTS SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)

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24-Mar-26	ABAN	DAVE LESTER M.	I.T. DEPT. INTERN	-	AP SECURITIES, INC.	RESIGNATION (no clearance required)
23-Mar-26	DELA CRUZ	RANDEN C.	ACCOUNTING ASSISTANT	-	AP SECURITIES, INC.	RESIGNATION (cleared)
23-Mar-26	TIU	BENJAMIN JOHN C.	NOMINEE/ PRESIDENT	NOMINEE/ PRESIDENT/ CHAIRMAN	FIDELITY SECURITIES, INC.	RE-DESIGNATION
23-Mar-26	SOL CRUZ	ELENA T.	TRADER	TRADER/ DIRECTOR/ TREASURER	FIDELITY SECURITIES, INC.	RE-DESIGNATION
23-Mar-26	GATMAITAN	EVITA M.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
23-Mar-26	HORNILLA	MIKAELLA D.	-	OPERATIONS ASSISTANT/ GENERALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
21-Mar-26	PADECIO	JAEX GHUMTHAR S.	INSTITUTIONAL EQUITY SALES ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
20-Mar-26	DELA CRUZ	RASHEED G.	WEBPAGE DEVELOPER & SYSTEMS ADMINISTRATION SUPPORT	-	SEEDBOX SECURITIES, INC.	RESIGNATION (cleared)
18-Mar-26	AGUALADA	JEWEL VINCENT F.	-	MESSENGER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
17-Mar-26	CHUAHIOCK	MYLES EDRIC C.	-	TREASURER/ DIRECTOR	B. H. CHUA SECURITIES CORPORATION	NEW EMPLOYMENT
17-Mar-26	SEVILLA	ERNELY TRISHA R.	-	CORPORATE SECRETARY	B. H. CHUA SECURITIES CORPORATION	NEW EMPLOYMENT
17-Mar-26	CAMPOSANO	NYSSA P.	-	DIRECTOR	B. H. CHUA SECURITIES CORPORATION	NEW EMPLOYMENT
17-Mar-26	CHUA	GILBERT L.	TREASURER/ DIRECTOR	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION
17-Mar-26	MARIANO	SHERYL B.	CORPORATE SECRETARY	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION (cleared)
17-Mar-26	NEVADO	NAISA GRACIELLE L.	ASST. CORPORATE SECRETARY	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION (cleared)

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16-Mar-26	STA. ANA	JEMERALD JOSUE M.	-	MESSENGER/ COLLECTOR	QUALITY INVESTMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
14-Mar-26	PEREZ	COLYN P.	CUSTOMER SUPPORT ASSISTANT	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
9-Mar-26	DOCOT	RAPHAEL JORREL H.	-	WEB DEVELOPER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
28-Feb-26	AMOYEN	LAUREN FAITH G.	ACCOUNTING SPECIALIST	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-25	CHENG	JUSTIN RICHMOND S.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
31-Dec-25	COLAYCO	KRISTINE MARIELLE M.	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
31-Dec-25	DANDAN	KYLE JOSHUA C.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
31-Dec-25	DULALIA	VANNESSA R.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
31-Dec-25	LORENZO	SHEENA ANN K.	CERTIFIED MUTUAL FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
31-Dec-25	LUCIANO	JOHN MARTIN	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
31-Dec-25	PEÑA	EIRA DOMINIQUE V.	CERTIFIED MUTUAL FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
8-Sep-25	SARMIENTO	PIETRO ANTONIO R.	CERTIFIED FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)